

PROCEEDINGS BULLETIN SUPPLEMENT
JANUARY 1, 2003 THRU MARCH 31, 2003

RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
\$K's FOREX INTERNATIONAL, INC.	03-06	CFTC	1/6/2003	4(a); 4b(a)		COMMISSION ORDER	CEASE & DESIST ORDER; TRADING PRIVILEGES PROHIBITED; CIVIL MONETARY PENALTY OF \$220,000 JOINTLY & SEVERALLY OWED PURSUANT TO PAYMENT PLAN; NFA DESIGNATED AS MONITOR; SHALL COMPLY WITH UNDERTAKINGS.
A.G. EDWARDS & SONS, INC.	97-2	CFTC	2/25/2003	4c(b); 4m(1); 4o(1)(A)-(B)	4.13(b)(2); 4.31(a); 33.10	ALJ INITIAL DECISION	CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$20,000 TO BE PAID WITHIN 30 DAYS; TRADING PROHIBITION; DOE FILES NOTICE OF APPEAL ON 3/12/03.
AIZEN, ALEKSANDER	03 CV 1796	ND NY	3/14/2003			EX PARTE STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.
ANDERSON, ALLEN	1:02CV00101	D UT	2/6/2003	4b(a)(i)-(iii); 4o(1); 4m(1)	4.21; 4.14(a)(9)	PRELIMINARY INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER; STOP SOLICITATION; TRADING PROHIBITION; PERMITTED UP TO \$5,000 PER MONTH FOR REGULAR LIVING EXPENSES.
BEACON HILL ASSET MANAGEMENT, LLC	SD 03-01	CFTC	1/7/2003			COMMISSION ORDER	CTA REGISTRATION SUSPENDED FOR 6 MONTHS.
BELANGER, GEORGE d/b/a IFC L.C., INVESTMENT FREEDOM CLUB, VENTURE FREEDOM FUND LTD., and VENTURE FREEDOM FOUNDATION	8:03-CV-54-T	MD FL	2/5/2003			PRELIMINARY INJUNCTION	ACCESS BOOKS & RECORDS; ACCOUNT ORDER; STOP SOLICITATION; TRADING PROHIBITION; 1/13/03 STATUTORY RESTRAINING ORDER SHALL REMAIN IN FULL FORCE AND EFFECT; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY; ENTITLED TO NO MORE THAN \$1,000 PER MONTH FOR LIVING EXPENSES.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
BIGGS, MICHAEL DESMOND	02-61160	SD FL	3/24/2003	4b(a); 4c(b); 4(a)	1.1(b); 32.9; 32.11; 33.3	PERMANENT INJUNCTION	DEFAULT ORDER; FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNTING ORDERED; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$480,000 TO BE PAID WITHIN 30 DAYS; SHALL MAKE FULL RESTITUTION WITHIN 30 DAYS INCLUDING, BUT NOT LIMITED TO \$362,533.93.
CDM TECHNOLOGIES, LLC	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	PRELIMINARY INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER; TRADING PROHIBITION; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
CHAPMAN, CHRISTOPHER	03-08	CFTC	3/25/2003	4b(a)(2)(C)(i)-(iii)		COMMISSION ORDER	CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$240,000 PURSUANT TO PAYMENT PLAN; PERMANENT TRADING PROHIBITION; SHALL NEVER APPLY OR CLAIM EXEMPTION FROM REGISTRATION.
COLE, WALTER S.	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	COMPLAINT FILED	
COMMODITY CONSULTANT INTERNATIONAL, INC.	02-40041	ED MI	3/10/2003	4b(a)(i)-(iii); 4g(a)	1.31; 1.35; 4.14(a)(9)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; JOINTLY AND SEVERALLY LIABLE FOR RESTITUTION OF \$6,274,986.84 PLUS PRE-JUDGMENT INTEREST IN THE AMOUNT OF \$302,760 TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN.
CONNETTO HOLDING COMPANY, LTD.	03 CV 1796	ND NY	3/14/2003			EX PARTE STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
DBS CAPITAL, INC.	C 03-1379VRW	ND CAL	3/31/2003	4b(a)(2)(i)-(iii); 4(a)	1.1(b)(1)- 1.1(b)(3)	COMPLAINT FILED	
DELESSEPES, PARIS a/k/a NANCY LEMAY CASSIDY a/k/a NANCY RAE NEWMAN	03-767 FMC	CD CAL	2/3/2003	4(a); 4b(a)(i)-(iii)	1.1(b)	TEMPORARY & STATUTORY RESTRAINING ORDER	STOP SOLICITATION.
DONATELLI, MARC	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	COMPLAINT FILED	
EL PASO MERCHANT ENERGY, L.P.	03-09	CFTC	3/26/2003	6(c); 6(d); 9(a)(2)	1.31; 1.35; 1.40	COMMISSION ORDER	CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$20,000,000.
ELLIOTT, GREGORY W. individually and d/b/a SOFTRADE, INC. and SOFTRADEINC.COM	03-07	CFTC	3/28/2003	4o(1)(A) & (B)	4.41(a)(1) & (2)	ALJ INITIAL DECISION	CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$25,000.
ELTZROTH, GEOFFREY M.	1:00 CV 0300	ND IND	2/12/2003	4k(2); 4o(1)(B)		PERMANENT INJUNCTION	CIVIL MONETARY PENALTY OF \$25,000; STOP SOLICITATION; RESTITUTION OF \$75,000 WHICH INCLUDES PRE-JUDGMENT INTEREST.
ENRON CORP.	H-03-909	SD TX	3/12/2003	6(c); 6(d); 9(a)(2); 4(a)		COMPLAINT FILED	
EUROBANCORP, a California Limited Liability Corporation	03-767 FMC	CD CAL	2/3/2003	4(a); 4b(a)(i)-(iii)	1.1(b)	COMPLAINT FILED	
FAGAN, MICHAEL	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	COMPLAINT FILED	
FIRST REPUBLIC FINANCIAL CORP. fka VANCORP FINANCIAL SERVICES	94-14	CFTC	2/28/2003			COMMISSION ORDER	VACATED CIVIL MONETARY PENALTY PREVIOUSLY IMPOSED AND CONCLUDED THAT, IN LIGHT OF THE GRAVITY OF THEIR VIOLATIONS, EACH SHOULD PAY A \$600,000 CIVIL MONETARY PENALTY; REMANDED PROCEEDING TO THE ALJ FOR FURTHER PROCEEDINGS CONSISTENT WITH ORDER.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
FIRST REPUBLIC TRADING CORP. aka CMW	94-14	CFTC	2/28/2003			COMMISSION ORDER	VACATED CIVIL MONETARY PENALTY PREVIOUSLY IMPOSED AND CONCLUDED THAT, IN LIGHT OF THE GRAVITY OF THEIR VIOLATIONS, EACH SHOULD PAY A \$600,000 CIVIL MONETARY PENALTY; REMANDED PROCEEDING TO THE ALJ FOR FURTHER PROCEEDINGS CONSISTENT WITH ORDER.
FOLINA, TINA NOA	8:03-CV-54-T	MD FL	1/13/2003			EX PARTE STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.
FOLINO, WILLIAM ANTHONY	8:03-CV-54-T	MD FL	1/13/2003			PRELIMINARY INJUNCTION	ACCESS BOOKS & RECORDS; ACCOUNT ORDER; STOP SOLICITATION; TRADING PROHIBITION; 1/13/03 STATUTORY RESTRAINING ORDER SHALL REMAIN IN FULL FORCE AND EFFECT; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY; ENTITLED TO \$5,000 PER MONTH FOR LIVING EXPENSES.
FUTUREWISE TRADING GROUP, INC.	02-40041	ED MI	3/10/2003	4b(a)(i)-(iii); 4g(a)	1.31; 1.35; 4.14(a)(9)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; JOINTLY AND SEVERALLY LIABLE FOR RESTITUTION OF \$6,274,986.84 PLUS PRE-JUDGMENT INTEREST IN THE AMOUNT OF \$302,760 TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN.
G, HAWKER & STONE, LLC	2:03CV-0260	D UT	3/13/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
GAHMA CORPORATION	1:02CV00101	D UT	2/6/2003	4b(a)(i)-(iii); 4o(1); 4m(1)	4.21; 4.14(a)(9)	PRELIMINARY INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER; STOP SOLICITATION; TRADING PROHIBITION.
GARBE, ULRICH a/k/a ULI GARBE	01-8329	SD FL	4/18/2001			DEFAULT ORDER	RESTITUTION OF \$16,436,213.02; CIVIL MONETARY PENALTY OF \$580,000.
GARCIA, ANTHONY	1:01-cv-1107	ND GA	3/27/2003	4c(b)	32.9; 32.5; 32.11; 33.3; 32.8	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNTING ORDERED; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$660,000 TO BE PAID WITHIN 30 DAYS; RESTITUTION OF \$219,250 TO BE PAID WITHIN 30 DAYS OF ORDER DATE PLUS PRE-JUDGMENT INTEREST.
GARRETT, JOHN	1:02CV00101	D UT	2/6/2003	4b(a)(i)-(iii); 4o(1); 4m(1)	4.21; 4.14(a)(9)	PRELIMINARY INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER; STOP SOLICITATION; TRADING PROHIBITION; PERMITTED UP TO \$5,000 PER MONTH FOR REGULAR LIVING EXPENSES.
GLOBAL INTERBANK, INC.	03-767 FMC	CD CAL	2/3/2003	4(a); 4b(a)(i)- (iii)	1.1(b)	COMPLAINT FILED	
GLOBAL TELECOM, INC.	01-18	CFTC	1/17/2003	4b(a)(i) & (iii); 4b; 4o(1); 6(c)	4.41(a)	ALJ ORDER	INITIAL DECISION; CEASE & DESIST ORDER; TRADING PRIVILEGES PROHIBITED FOR 3 YEARS; REGISTRATION RESTRICTIONS REVOKED.
HAWKER, BRYAN KEITH	2:03CV-0260	D UT	3/13/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
HEYBURN, DENNIS	SACV02-173	CD CAL	3/24/2003	4b(a)(i) & (iii); 4(a)	1.1(b)(1) & (3)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$215,370 CONTINGENT PURSUANT TO PAYMENT PLAN; JOINTLY & SEVERALLY LIABLE WITH MOORE FOR \$480,998 IN RESTITUTION PLUS POST JUDGMENT INTEREST TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN; NFA DESIGNATED AS MONITOR; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
HOLSTON, YOUNG, PARKER & ASSOCIATES	03 CV 1796	ND NY	3/14/2003			EX PARTE STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.
INFINITE TRADING GROUP, LLC	1:01-cv-1107	ND GA	3/27/2003	4c(b)	32.9; 32.5; 32.11; 33.3; 32.8	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNTING ORDERED; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$660,000 TO BE PAID WITHIN 30 DAYS; RESTITUTION OF \$219,250 TO BE PAID WITHIN 30 DAYS OF ORDER DATE PLUS PRE-JUDGMENT INTEREST.
INTERTRADE FOREX, INC.	6:03-CV-119	MD FL	1/29/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER.
INVESTORS FREEDOM CLUB, L.C.	8:03-CV-54-T	MD FL	1/13/2003			EX PARTE STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
JERRY SLUSSER	94-14	CFTC	2/28/2003			COMMISSION ORDER	VACATED CIVIL MONETARY PENALTY PREVIOUSLY IMPOSED AND CONCLUDED THAT, IN LIGHT OF THE GRAVITY OF THEIR VIOLATIONS, EACH SHOULD PAY A \$600,000 CIVIL MONETARY PENALTY; REMANDED PROCEEDING TO THE ALJ FOR FURTHER PROCEEDINGS CONSISTENT WITH ORDER.
JOHNSON, DAVID G.	1:00 CV 0300	ND IND	2/12/2003	4o(1)(B)		PERMANENT INJUNCTION	DISGORGEMENT OF \$150,000; CONTINGENT CIVIL MONETARY PENALTY OF \$150,000 PURSUANT TO PAYMENT PLAN; FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; JOINTLY & SEVERALLY LIABLE WITH FERGUSON FOR RESTITUTION OF \$1,200,000 PLUS PRE-JUDGMENT INTEREST IN THE AMOUNT OF \$244,655 PURSUANT TO PAYMENT PLAN.
KEMP, ELIZABETH MISKUS	03-06	CFTC	1/6/2003	4(a); 4b(a)		COMMISSION ORDER	CEASE & DESIST ORDER; TRADING PRIVILEGES PROHIBITED; CIVIL MONETARY PENALTY OF \$220,000 JOINTLY & SEVERALLY OWED PURSUANT TO PAYMENT PLAN; NFA DESIGNATED AS MONITOR; SHALL COMPLY WITH UNDERTAKINGS.
KILBRIDGE, ROBERT	02-09	CFTC	1/31/2003	4b(a)(i); 4b(a)(iii); 4b(a)(iv); 4c(a)(1); 4g	1.38; 1.35(d)	COMMISSION ORDER	CEASE & DESIST ORDER; CIVIL MONETARY OF \$50,000 TO BE PAID PURSUANT TO PAYMENT PLAN; FB REGISTRATION SUSPENDED FOR 6 MONTHS; TRADING PRIVILEGES SUSPENDED FOR 3 YEARS; TRADING PRIVILEGES WILL BE RESTRICTED AFTER 3 YEAR SUSPENSION.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
LAKIN, DON	SACV02-173	CD CAL	3/24/2003	4b(a)(i) & (iii); 4(a)	1.1(b)(1) & (3)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$240,000 CONTINGENT PURSUANT TO PAYMENT PLAN; JOINTLY & SEVERALLY LIABLE WITH NAFEIY FOR \$1,097,464.64 IN RESTITUTION PLUS POST JUDGMENT INTEREST TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN; NFA DESIGNATED AS MONITOR; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
LASSEN, JACK	03-767 FMC	CD CAL	2/3/2003	4(a); 4b(a)(i)-(iii)	1.1(b)	COMPLAINT FILED	
LONG PINT INVESTMENTS, LLC	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	PRELIMINARY INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER; TRADING PROHIBITION; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
MENDOZA, ROBERT	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	COMPLAINT FILED	
MILLER, THOMAS T.	1:00 CV 0300	ND IND	2/12/2003	4o(1)(B)		PERMANENT INJUNCTION	DISGORGEMENT OF \$780,000; CIVIL MONETARY PENALTY OF \$780,000; FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; JOINTLY & SEVERALLY LIABLE WITH FERGUSON FOR RESTITUTION OF \$8,000,000 PLUS PRE-JUDGMENT INTEREST IN THE AMOUNT OF \$244,655 PURSUANT TO PAYMENT PLAN.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
MOORE, BRIAN	SACV02-173	CD CAL	3/24/2003	4b(a)(i) & (iii); 4(a)	1.1(b)(1) & (3)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$490,047 CONTINGENT PURSUANT TO PAYMENT PLAN; JOINTLY & SEVERALLY LIABLE WITH MAS FX, FX ADVISORS PACIFIC, AND FX ADVISORS EAST FOR \$2,259,403 IN RESTITUTION PLUS POST JUDGMENT INTEREST TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN; NFA DESIGNATED AS MONITOR; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
MORRIS, ELLISON KENT	03-80032	SD FL	1/13/2003	4(a); 4b(a)(2)	1.1	COMPLAINT FILED	
NAFEIY, FARZAD	SACV02-173	CD CAL	3/24/2003	4b(a)(i) & (iii); 4(a)	1.1(b)(1) & (3)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; CIVIL MONETARY PENALTY OF \$181,000 CONTINGENT PURSUANT TO PAYMENT PLAN; JOINTLY & SEVERALLY LIABLE WITH LAKIN FOR \$1,097,464.64 IN RESTITUTION PLUS POST JUDGMENT INTEREST TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN; NFA DESIGNATED AS MONITOR; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
O'HERRON, JOHN F.	SD 03-02	CFTC	1/7/2003			COMMISSION ORDER	CEASE & DESIST ORDER; CTA & AP REGISTRATION REVOKED.
OUYANG, BEN	CV 03-0833	CD CAL	2/5/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.

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RESPONDENT NAME	DOCKET #	JURISDICTION	ACTION DATE	ACTS VIOLATED	REGS VIOLATED	DISPOSITION	DISPOSITION INFORMATION
OWNBEY, CAMERON S.	01-18	CFTC	1/17/2003	4b(a)(i) & (iii); 4b; 4o(1); 6(c)	4.41(a)	ALJ ORDER	INITIAL DECISION; CEASE & DESIST ORDER; TRADING PRIVILEGES PROHIBITED FOR 3 YEARS; REGISTRATION RESTRICTIONS REVOKED.
PATEL, PRITESH	6:03-CV-119	MD FL	1/29/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER.
RB&H FINANCIAL SERVICES LP	01-18	CFTC	1/17/2003	4b	166.3	ALJ ORDER	INITIAL DECISION; CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$220,000 TO BE PAID WITHIN 30 DAYS AFTER DECISION BECOMES FINAL; APPEAL.
ROZILLO, RON	SACV02-173	CD CAL	3/24/2003	4(a)		PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; DISGORGEMENT OF \$239,000 TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN; SHALL PAY \$25,000 TO THE MONITOR (NFA), WHO WILL DEPOSIT THE FUNDS INTO AN INTEREST BEARING ACCOUNT.
SHIVELY, HUNTER	H-03-909	SD TX	3/12/2003	6(c); 6(d); 9(a)(2); 4(a)		COMPLAINT FILED	
SNIVELY, TODD JAMES	02-40041	ED MI	3/10/2003	4b(a)(i)-(iii); 4g(a)	1.31; 1.35; 4.14(a)(9)	PERMANENT INJUNCTION	FREEZE OF ASSETS; PROTECT BOOKS & RECORDS; STOP SOLICITATION; TRADING PROHIBITION; CONTINGENT CIVIL MONETARY PENALTY OF \$360,000; JOINTLY AND SEVERALLY LIABLE FOR RESTITUTION OF \$6,274,986.84 PLUS PRE-JUDGMENT INTEREST IN THE AMOUNT OF \$302,760 TO BE PAID PURSUANT TO ANNUAL PAYMENT PLAN.
SPIVAK, GENNADY a/k/a GEORGE SPIVAK	03-80032	SD FL	1/13/2003	4(a); 4b(a)(2)	1.1	COMPLAINT FILED	

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STEPHEN ALAN PIERCE, individually and d/b/a RAPID FIRE SWING TRADING and THE CHART TRADERS	02-15	CFTC	1/21/2003	4n(3)(A); 4o(1)(A) & (B)	4.33; 4.41(a); 4.41(b)(1)(i)	COMMISSION ORDER	CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$25,000 WITHIN TEN DAYS.
STEVENS, DOUGLAS	C 03-1379VRW	ND CAL	3/31/2003	4b(a)(2)(i)-(iii); 4(a)	1.1(b)(1)- 1.1(b)(3)	COMPLAINT FILED	
TAMB INTERNATIONAL, INC.	CV 03 0177	ED NY	1/7/2003	4(a); 4b(a)		COMPLAINT FILED	
TAMBIEV, RUSSELL a/k/a ROUSLAN OR RUSSLAN TAMBIEV AND RUSSELL TAMB	CV 03 0177	ED NY	1/7/2003	4(a); 4b(a)		COMPLAINT FILED	
VICTO FINANCIAL SERVICES, INC.	CV 03-0833	CD CAL	2/5/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.
WAKEFIELD, STANLEY CRAIG	6:03-CV-119	MD FL	1/29/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER.
WHEELER, JOHN A.	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	PRELIMINARY INJUNCTION	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS; ACCOUNT ORDER; TRADING PROHIBITION; SHALL NOT APPLY FOR REGISTRATION OR CLAIM EXEMPTION FROM REGISTRATION IN ANY CAPACITY.
WONG, YUEN KWONG "ANTHONY" dba IBF CAPITAL LIMITED COMPANY	CV 03-0833	CD CAL	2/5/2003			STATUTORY RESTRAINING ORDER	FREEZE OF ASSETS; PROTECT & ACCESS BOOKS & RECORDS.
WOOD, GARY	6:03CV42	ED TX	1/30/2003	4b(a)(2)(i)-(iii)	1.1(b)(1); 1.1(b)(3)	COMPLAINT FILED	
WORLD-WIDE CURRENCY SERVICES, CORP	03-80032	SD FL	1/13/2003	4(a); 4b(a)(2)	1.1	COMPLAINT FILED	
WRIGHT, ROGER J.	97-2	CFTC	2/25/2003	4c(b); 4m(1); 4o(1)(A)-(B)	4.13(b)(2); 4.31(a); 33.10	ALJ INITIAL DECISION	CEASE & DESIST ORDER; CIVIL MONETARY PENALTY OF \$500,000 TO BE PAID WITHIN 30 DAYS; TRADING PROHIBITION; DOE FILES NOTICE OF APPEAL ON 3/12/03.